A Contactless Fingerprint Verification Method using a Minutiae Matching Technique

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ABSTRACT
Most of matching or verification phases of fingerprint systems use minutiae types and orientation angle to find matched minutiae pairs from the input and template fingerprints. Unfortunately, due to some non-linear distortions, like excessive pressure and fingers twisting during enrollment, this process can cause the minutiae features to be distorted from the original. The authors are then interested in a fingerprint matching method using contactless images for fingerprint verification. After features extraction, they compute Euclidean distances between template minutiae (bifurcation and ending points) and input image minutiae. They compute then after bifurcation ridges orientation angles and ending point orientations. In the decision stage, they analyze the similarity between templates. The proposed algorithm has been tested on a set of 420 fingerprint images. The verification accuracy is found to be acceptable and the experimental results are promising.

KEYWORDS
Contactless Biometrics, Euclidean Distance, Fingerprint, Minimum Orientation Angle Difference, Verification

1. INTRODUCTION
Biometric authentication has received extensive attention over the past decade with increasing demands in automated personal identification as fingerprints are assumed to be unique across individuals, and fingers of the same individual (Pankanti et al., 2002). However, contact based fingerprint systems have some drawbacks due to skin elasticity, inconsistent finger placement, contact pressure, small sensing area, environment conditions and sensor noise. Additionally, problems like contagious diseases spreading make the use of contact based scanners not very safe. We are then interested in a fingerprint matching method using contactless images for fingerprint verification.

Depending on the application context, a biometric system may be called either a verification system or an identification system (Maltoni et al., 2003). A verification system authenticates a person’s identity by comparing the captured biometric reference template pre-stored in the system. It conducts one-to-one comparison to confirm whether the claim of identity by the individual is true. An identification system recognizes an individual by searching the entire enrollment template database for a match. It conducts one-to-many comparisons to establish if the individual is present in the database and if so, returns the identifier of the enrollment reference that matched.
Fingerprint matching techniques can be coarsely classified into three categories, namely minutiae-based matching (Jain et al., 1997; Medina-pérez et al., 2012), image-based matching (A. Qader et al., 2006; Ito et al., 2009; Jain et al., 2000, Sha et al., 2003) and hybrid matching technique (Khalila et al., 2010; Kumar et al., 2012). Minutiae-based matching essentially consists of finding the alignment between the template and the input minutiae feature sets that result in the maximum number of minutiae pairings.

In this paper, we present a contactless fingerprint verification method using a minutiae matching technique, based on the alignment between template images acquired by a contactless system and input images acquired by the same way. Contactless images have been acquired and stored in a database during an enrollment step. The first stage in an Automatic Fingerprint Verification procedure is to extract minutiae from fingerprints. In our contactless fingerprint verification system, we have implemented a minutia extraction algorithm which has been presented in (Djara et al., 2010). The extracted features are ridge bifurcation, ridge ending and ridge orientations. Authors in (Kumar et al., 2012; He et al., 2002; Virk & Maini, 2012) determine orientations using horizontal axis.

Most of the matching or verification of the fingerprint verification systems use minutiae types and orientation angle to find matched minutiae pairs from the input and template fingerprints (Tiko & Kuosmanen, 2003). Thus, accuracy of the verification stage largely depends on the minutiae extraction process. Unfortunately, due to some non-linear distortion, like excessive pressure and twisting of fingers during enrollment, this process can cause the minutiae features to be distorted from the original. Some authors have used the Smallest Minimum Sum of Closest Euclidean Distance of bifurcation points to improve the accuracy of fingerprint verification (Bhowmik et al., 2009).

To overcome those drawbacks, we work on contactless fingerprint images. After features extraction, we compute Euclidean distances between template minutiae and input image minutiae. We compute then after ridges bifurcation orientations and the ridges ending orientations. In the decision stage, we analyze the similarity between templates. Our algorithm has been tested by computing various similarity scores.

In section 2 we present the experimental condition i.e. the contactless enrollment. Feature representation is presented in section 3. Ridge bifurcation and Ridge ending similarity are described in Section 4. Section 5 presents our minutiae matching algorithm. Section 6 contains the similarity score evaluation. Section 7 presents the protocol used for the matching test. Section 8 presents the experimental results and section 9 concludes the paper.

2. CONTACTLESS ENROLLMENT

After the tragic attacks of September 11, 2001, the need for improved and reliable fingerprint recognition technology drastically increased. Despite the known deficiencies and drawbacks of contact-based fingerprinting, this method is still deployed. Although contactless methods are known for producing distortion free fingerprints, this is a rather new technological development, and very few universities are involved in their development. Among authors interested by contactless fingerprint development, we have (Parziale et al., 2006; Hiew et al., 2007; Mil’shtein et al., 2008). Other authors present in an academic work, recent applications in contactless fingerprint (Mil’shtein et al., 2011).

2.1. Acquisition Protocol

We have developed a Contactless Biometric Fingerprint Software (CBFS) for the acquisition and processing of our images. The contactless fingerprint acquisition system we present consists of this CBFS to visualize the sharpness of the finger before capture, a webcam for taking digital photo, and
lighting equipment. The user is asked to put his finger in a fixed position, the reverse of his finger on the indicated place and his palm faces the camera. We use a medium-resolution webcam (Logitech Pro9000), driven by an interface as shown in Figure 1. In order to limit travel, a rectangular area is defined on the interface of the camera which will contain the finger before capture. The acquired images are PNG format and have a size of 640x640. Figure 1-(a) shows the system and Figure 1-(b) shows a screenshot of the user’s interface.

The distance between the camera and the finger, and the resolution of the output image are two important parameters in contactless image acquisition. In fact, many distances have been tested, and we find the optimum one is 8cm between the camera and the finger. In our experiment, the camera ensures a resolution of 360 dpi.

Figure 3 shows in (a) and (b) two fingerprints images acquired by a contact of sensor, while Figure 2 shows in (a) and (b) two images obtained using our camera. We notice that, a paramount advantage of contactless image acquisition is that a large image area can be captured quickly compared touch based systems.
2.2. Pre-Processing Phase

Pre-processing plays a significant role in improving image contrast. We have used histogram equalization for image enhancement. Figure 4.a shows a contactless acquisition fingerprint image while Figure 4.b shows the enhanced image of Figure 4.a. Figure 4.c and 4.d show respectively the histogram of Figure 4.a and Figure 4.b. Images from webcam experiments are Red-Green-Blue color images. The image is converted to grey scale image using the CBFS.
3. FEATURE CHARACTERISATION

The used features are bifurcation points and ending points (Figures 6 and 7). In order to get the streaks in the image of fingerprint, a photometric adaptive threshold method has been developed and presented in (Djara et al., 2010). Two thresholds are defined i.e. $S_s$ and $S_h$, corresponding to the mean of a square framework and the mean of a hexagonal framework. A pixel $P$ is deleted or not by comparing its value with $S_s$ and $S_h$. Here we introduce the foreground regions extraction before streaks extraction. The extraction phase of the streaks is linked to the extraction foreground regions. For this purpose, we have applied a filter to the image in order to define its contour. Then a binary mask is subsequently applied to the image filter, which allows to have an image defining the contour of the fingerprint. This contour image is used for the extraction of foreground regions (see Figure 5).

The image from the photometric adaptive threshold is skeletonized in order to get minutiae (bifurcation points and ending points). The minutiae are extracted by scanning the local neighborhood of each ridge pixel in the image using a $3 \times 3$ window of Table 1. The crossing number (CN) is then

Figure 5. Main steps of the extraction of the foreground regions

![Figure 5](image)

Figure 6. Orientations of bifurcation points

![Figure 6](image)
computed, which is defined as half the sum of the differences between pairs of adjacent pixels in the eight-neighborhood as presented in (Arcelli & Baja, 1984; Mehtre, 1993). We have:

\[ CN = \frac{1}{2} \sum_{i=1}^{8} |P_i - P_{i-1}|, \quad P_8 = P_0 \]  

(1)

If \( CN = 1 \) then the ridge pixel is a ridge ending, while if \( CN = 3 \) the ridge pixel is a ridge bifurcation otherwise it is a non-minutiae point.

### 3.1. Ridge Bifurcation Orientation Characterization

In our approach, for bifurcation points we define a window \( W \) of size \( S \times S \) and of central pixel the minutiae points. We count 3 points \( P_1, P_2, \) and \( P_3 \) around the perimeter of the window as shown in Figure 2.

![Figure 7. Orientation of ridge ending points](image_url)
For a given bifurcation point $B_j$, we compute the orientations as being (Equations 2, 3 and 4):

$$
\theta_{1j} = \text{Arccos} \left( \frac{B_{j_1}P_1 \cdot B_{j_2}P_2}{B_{j_1}P_1 \times B_{j_2}P_2} \right) 
$$

$$
\theta_{2j} = \text{Arccos} \left( \frac{B_{j_2}P_2 \cdot B_{j_3}P_3}{B_{j_2}P_2 \times B_{j_3}P_3} \right) 
$$

$$
\theta_{3j} = \text{Arccos} \left( \frac{B_{j_3}P_3 \cdot B_{j_1}P_1}{B_{j_3}P_3 \times B_{j_1}P_1} \right) 
$$

(. ) stands for the scalar product.

(X) stands for the ordinary multiplication.

For an image with $M$ validated bifurcation points, we build a matrix of $M$ rows and 5 columns. Each point is represented by a row in the matrix. The columns represent the coordinates and the angles between them are the branches.

$\begin{pmatrix}
  x_1 & y_1 & \theta_{11} & \theta_{21} & \theta_{31} \\
  \vdots & \vdots & \vdots & \vdots & \vdots \\
  x_M & y_M & \theta_{1M} & \theta_{2M} & \theta_{3M}
\end{pmatrix}$

3.2. Ridge Ending Orientation Characterization

We define two concentric windows $W_{1F}$ and $W_{2F}$ of central point the ridge ending point and for size $S_1$ and $S_2$. On the perimeter of $F_1$, we have a point $P_1$ and on the perimeter of $W_2F_0$ we have a point $P_2P_0$ as shown on Figure 3. For a given ending point $T_i$, the orientation is defined as the angle between vectors.

$$
\theta_{ij} = \text{Arccos} \left( \frac{TP_i \cdot TP_{i+1}}{TP_i \times TP_{i+1}} \right) 
$$

$$
\theta_i = \text{Arccos} \left( \frac{TP_0 \cdot TP_{i-1}}{TP_0 \times TP_{i-1}} \right) 
$$

(. ) stands for the scalar product.

(X) stands for the ordinary multiplication.
For an image with \( N \) validated ending points, we build a matrix of \( N \) rows and 3 columns. Each row of the matrix represents an ending points. The columns represent the coordinates of the point and the angle of the branch.

\[
\begin{pmatrix}
 x_1 & y_1 & \theta_1 \\
 \vdots & \vdots & \vdots \\
 x_N & y_N & \theta_{1N}
\end{pmatrix}
\] (7)

**4. RIDGE BIFURCATION AND RIDGE ENDING SIMILARITY**

In this section, we introduce the ridge bifurcation (Rb) and the ridge ending points (Re) similarity (Rb-Re Similarity). Let \( I_t(\text{Rb}) \) and \( I_{t+d}(\text{Rb}) \) be the template and query fingerprint Rb sets respectively. Let \( I_t(\text{Re}) \) and \( I_{t+d}(\text{Re}) \) be the template and query fingerprint Re sets respectively. We have:

\[
I_t(b) = \{b_1, b_2, \ldots, b_M\} \quad b_j = (x_{1j}, y_{1j}, \theta_{1j}, \theta_{2j}, \theta_{3j}); \quad j \in [1 \ldots M]
\] (8)

\[
I_{t+d}(b) = \{b'_1, b'_2, \ldots, b'_M\} \quad b'_p = (x'_{1p}, y'_{1p}, \theta'_{1p}, \theta'_{2p}, \theta'_{3p}); \quad p \in [1 \ldots M']
\] (9)

\[
I_t(t) = \{t_1, t_2, \ldots, t_N\} \quad t_i = (x_i, y_i, \theta_i); \quad i \in [1 \ldots N]
\] (10)

\[
I_{t+d}(t) = \{t'_1, t'_2, \ldots, t'_N\} \quad t'_q = (x'_{1q}, y'_{1q}, \theta'_{1q}); \quad q \in [1 \ldots N']
\] (11)

where \( b_j \) and \( b'_p \) represent respectively the \( j^{th} \) and the \( p^{th} \) row of matrix of the Rb. \( t_i \) and \( t'_q \) represent respectively the \( i^{th} \) and \( q^{th} \) row of matrix of the Re. It is assumed, that there is correspondence between \( b_j \) and \( b'_q \) if the Euclidean distance (\( ed \)) between them is smaller than a given tolerance \( d_0 \) and orientation differences (\( od \)) of their respective angles are smaller than angular tolerances \( \theta_0, \alpha_0, \beta_0, \beta'_0 \):

\[
ed(b_j, b'_p) = \sqrt{(x_j - x'_{p})^2 + (y_j - y'_{p})^2} \leq d_0
\] (12)

and

\[
\begin{align*}
\text{od}(b_j, b'_p)_1 &= \min \left( |\theta_{1j} - \theta'_{1p}|, 360 - |\theta_{1j} - \theta'_{1p}| \right) \leq \theta_0 \\
&\text{and} \\
\text{od}(b_j, b'_p)_2 &= \min \left( |\theta_{2j} - \theta'_{2p}|, 360 - |\theta_{2j} - \theta'_{2p}| \right) \leq \alpha_0 \\
\text{or} & \\
\text{od}(b_j, b'_p)_3 &= \min \left( |\theta_{3j} - \theta'_{3p}|, 360 - |\theta_{3j} - \theta'_{3p}| \right) \leq \beta_0
\end{align*}
\] (13)
By the same way, we assume that there is a correspondence between $t_i$ and $t'_q$ if the euclidean distance ($ed$) between them is smaller than a given tolerance $d_0$ and the orientation difference ($od$) between them is smaller than an angular tolerance $\theta_0$.

$$ed(t_i, t'_q) = \sqrt{(x_i - x'_q)^2 + (y_i - y'_q)^2} \leq d_0$$

and

$$od(t_i, t'_q) = \min(|\theta_i - \theta'_q|, 360 - |\theta_i - \theta'_q|) \leq \theta_0$$

5. MINUTIAE MATCHING

The nature of the deformation between our images is a rigid transformation expressed by:

$$\begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} = \begin{bmatrix} u_0 & u_1 & u_2 \\ v_0 & v_1 & v_2 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

(16)

with

$$u_0 = \cos(\theta) \quad u_1 = -\sin(\theta) \quad u_2 = (1 - \cos(\theta))x_0 + y_0 \sin(\theta) + t_x \cos(\theta) - t_y \sin(\theta)$$

$$v_0 = \sin(\theta) \quad v_1 = \cos(\theta) \quad v_2 = (1 - \cos(\theta))x_0 + y_0 \sin(\theta) + t_x \sin(\theta) + t_y \cos(\theta)$$

(17)

where $M_0\begin{bmatrix} x_0 \\ y_0 \end{bmatrix}$ is the center of rotation, $\theta$ the angle of rotation, $\begin{bmatrix} t_x \\ t_y \end{bmatrix}$ the coordinates of the translation vector and $M'\begin{bmatrix} x' \\ y' \end{bmatrix}$, the transform of $M\begin{bmatrix} x \\ y \end{bmatrix}$.

In the research phase of the best deformation, the correspondence between the sets of control points, is obtained by calculating the descriptor vector of Zernike moments on a window of size $L \times L$ centered at each point, taking into account ridges bifurcations. Comparison of correlation coefficients between the descriptors vectors of Zernike moments helps define the corresponding points. The estimation of parameters of the existing deformation between the images is performed using RANSAC algorithm (Random SAmple Consensus) that suppresses wrong matches. The correspondence between these two sets of control points is obtained by following these steps:

- Subdivide each image into thumbnail size $L \times L$ centered on each point $B_i$.
- For each thumbnail centered on this point $B_i$, construct the descriptor vector of Zernike moments $M_z$ as follows:
where $|z_{pq}|$ is the module of Zernike moments. We have used as the highest order of moments 5 after several experimental trials. Although the higher order moments are the fine details of the image, they are more sensitive to noise than lower order moments. The Zernike moment of order $p$ with repetition $q$ for a continuous image function $f(x, y)$, that vanishes outside the unit disk is:

$$Z_{pq} = \frac{p + 1}{\pi} \int \int_{x^2 + y^2 \leq 1} V^*_{pq}(\rho, \theta) f(x, y) dxdy$$  \hspace{1cm} (19)$$

If $F$ is the digital image of $f$, the above equation becomes:

$$Z_{pq} = \frac{p + 1}{\pi} \sum_{x=1}^{N} \sum_{y=1}^{N} V^*_{pq}(\rho, \theta) F(x, y)$$  \hspace{1cm} (20)$$

with

$$V_{pq}(\rho, \theta) = R_{pq}(\rho) e^{iq\theta}$$  \hspace{1cm} (21)$$

Where $R_{pq}$ is the Zernike radial polynomials of order $p$ with repetition $q$ in $(\rho, \theta)$ polar coordinates given by:

$$R_{pq}(\rho) = \sum_{s=0}^{\frac{p-1}{2}} (-1)^s \left(\begin{array}{c} p - s \\ s \end{array}\right) \left(\begin{array}{c} p + q \\ 2 \end{array}\right) \left(\begin{array}{c} p - q \\ 2 \end{array}\right) \rho^{p-2s}$$  \hspace{1cm} (22)$$

In the above equation $p$ is a non-negative integer, $(p \geq 0)$, and $q$ positive and negative integers subject to the constraints:

$$\begin{cases} p - q \text{ is even} \\ q \leq p \end{cases}$$  \hspace{1cm} (23)$$

where $V^*_{pq}$ denote complex conjugate of $V_{pq}$, $\rho = \sqrt{x^2 + y^2} \leq 1$ and $\theta = \tan^{-1}\left(\frac{y}{x}\right)$.

- For any point $r_i$ of the reference image, we suppose that its corresponding $e_i$ of input image is from a set of points located within a certain radius $R_0$ around $r_i$. The radius $R_0$ limits the search
for corresponding and therefore, dramatically reduces the number of comparisons to achieve in order to find out the corresponding points (Figure 8).

- The matching process is performed by calculating the correlation coefficients between the two descriptor vectors. The corresponding points are those which give the maximum value of correlation coefficients.

The correlation coefficient between two vectors of the features \(X(x_1, \ldots, x_n)\) and \(Y(y_1, \ldots, y_n)\) is given by the following formula:

\[
C = \frac{\sum_{i=1}^{n} (x_i - \bar{x})(y_i - \bar{y})}{\sqrt{\sum_{i=1}^{n} (x_i - \bar{x})^2} \times \sqrt{\sum_{i=1}^{n} (y_i - \bar{y})^2}}
\]  

(24)

where \(\bar{x}\) and \(\bar{y}\) are averages of the two vectors \(X\) and \(Y\) respectively. If \(C\) is 0, the two vectors are not correlated. The two vectors are better correlated when \(C\) is far from 0 (near -1 or 1).

Once the sets of points \(I_t(\cdot)\) and \(I_{t+d}(\cdot)\) are aligned by applying the model of deformation given by Equation 16, the algorithm “Rb-Re Similarity” starts. The formal algorithm is the following (see Figure 9):

The purpose of a match algorithm is to evaluate the similarity of two fingerprints, and to judge whether they belong to the same finger or not. In our method, the similarity value is computed using the formula presented by Galy (2005):

Figure 8. Determining the corresponding \(e_i\) (of input image) of a point \(r_j\) (of the reference image)
MS = \frac{N}{\max\left(N_{I_i}, N_{I_{i+1}}\right)} \quad (25)

where \(N_{I_i}\) and \(N_{I_{i+1}}\) are the template and query fingerprint minutiae sets respectively, and \(N\) is the amount of matching minutiae pairs.

We created a database (available in http://refod.net/images/Fingerprint/DB2.zip) containing 420 prints with 28 different sets of fingers, each with 15 acquisitions.

Let \(F_{ij}\) be the \(j^{th}\) fingerprint sample of the \(i^{th}\) finger and \(T_{ij}\) the corresponding template \(\{1 \leq i \leq n; 1 \leq j \leq m\}\). The template \(T_{ij}\) are computed from the corresponding \(F_{ij}\) and stored on a disk by our platform.
For matching, we perform the following operations:

1. **Genuine Matching (GM):** Each fingerprint template $T_{ij}$ is matched against the fingerprint images $F_{ik}(k \neq j)$ and the corresponding Genuine Matching Score $gms_{ijk}$ are stored.

2. **Impostor Matching (IM):** Each fingerprint template $T_{kl}$ is matched against the fingerprint images from different fingers $F_{ij}(i > k)$ and the corresponding Impostor Matching Score $ims_{ik}$ are stored.

The number of matching is defined in each case:

**Case 1:**
$$NGRA = \left| \left\{ gms_{ik}, i \in [1..., n], 1 \leq j \neq k \leq m \right\} \right| = n \ast m \ast (m - 1).$$

In our case $NGRA = 5880$. $NGRA$ is the Number of Genuine Recognition Attempts.

**Case 2:**
$$NIRA = \left| \left\{ ims_{ik}, i \in [1..., n], 1 \leq j \neq k \leq m \right\} \right| = m \left[ (n - 1) + (n - 2) + \ldots + 1 \right].$$

In our case $NIRA = 5670$. $NIRA$ is the Number of Imposter Recognition Attempts.

The GM distribution and the IM distribution are computed and graphically reported to show how the algorithm differentiates the classes. The FMR (False Match Rate) and FNMR (False Non-Match Rate) curves are computed from the above distributions for the threshold $t$ ranking from 0 to 1.

The pairs $(FMR(t), FNMR(t))$ are plotted for the same value of $t$ to obtained a ROC (Receiver Operating Characteristics) curve.

$FMR(t)$ and $FNMR(t)$ are defined by:

$$FMR(t) = \frac{\text{card}\left\{ ims_{ik} / ims_{ik} \geq t \right\}}{NIRA}$$

$$FNMR(t) = \frac{\text{card}\left\{ gms_{ik} / gms_{ik} < t \right\}}{NGRA}$$

$\text{card}$ denote the cardinality of a given set, $FMR(t)$ denotes the percentage of $ims_{ik} \geq t$ and $FNMR(t)$ denotes the percentage of $gms_{ik} < t$.

### 6. EXPERIMENTAL RESULTS

Figure 10, shows in (a) GM and IM distributions. In (b) and (c) FMR-FNMR curves and ROC are respectively represented. We evaluate the algorithm performance by using Equal Error Rate (EER) where $FMR = FNMR$. We notice from Figure 10-(b) that $FMR$ and $FNMR$ values are respectively 7.64% and 6.46% at a threshold $(th)$ value of 0.33.

From the database, we achieved an EER to the order of 7.05%. The matching time is approximately 0.6s. The performance of our algorithm is acceptable. The results can be improved ensuring that the database does not contain such poor quality fingerprint images.
7. CONCLUSION

In this paper, we investigated a fingerprint matching algorithm with only minutiae information as an approach for a supervised contactless biometric system. In this new context, our experiments show that fingerprint images can be well matched using the minutiae matching method. The performance of the algorithms are evaluated through a created database using the CBFS. As shown, we have led 5880 comparisons intra-class (Number of Genuine Recognition Attempts) and 5670 comparisons inter-class (Number of Imposter Recognition Attempts). The illustration of the results available in the contact context shows that the performance of our algorithms are acceptable. The results are encouraging with an Equal Error Rate around 7.05%. In a future work, the performance of our algorithms can be improved by taking into account the 3D fingerprint identification presented in (Ajay & Kwong, 2015; Hong Kong Polytechnic, 2013; Wang et al., 2010).
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